

02-05-01

A

Please type a plus sign (+) inside this box =&gt;



PTO/SB/05 (1/98)

Approved for use through 09/30/00. OM 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

M-9097-1D US

First Named Inventor or  
Application Identifier

Rademacher, Thomas William

Title

CYCLITOL CONTAINING CARBOHYDRATES FROM  
HUMAN TISSUE WHICH REGULATE LIPOGENIC  
ACTIVITY

Express Mail Label No.

EL699360811US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311. ☒ Fee Transmittal Form - *see page 2 of this form.*

(Submit an original, and a duplicate for fee processing)

2. Application:

☒ Specification: (preferred arrangement set forth below)Descriptive title of the Invention,  
Cross References to Related Applications,  
Reference to Microfiche Appendix,  
Background of the Invention,  
Brief Summary of the Invention,  
Brief Description of the Drawings, and  
Detailed Description (all totaling 44 pages)  
Appendix(ces) \_\_\_\_\_, & \_\_\_\_\_ (\_\_\_\_\_ pages)☒ Claim(s) 3 pages☒ Abstract of the Disclosure 1 page3. ☒ Drawing(s) (35 USC 113) [Total Sheets 10]4. Oath or Declaration ☐ unsigned [Total Pages \_\_\_\_\_]a. ☐ Newly executed (original or copy)b. ☒ Copy from prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)c. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).5. ☒ Incorporation By Reference (useable if Box 4b is checked)The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.6. ☐ Microfiche Computer Program Appendix  
consisting of \_\_\_\_\_ pages of microfiche containing \_\_\_\_\_  
frames on each page in accompanying envelope.7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents) \_\_\_\_\_ pages9. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney  
(combined when there is an \_\_\_\_\_ with Patent Declaration  
Assignee) above.)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS) & ☐ PTO-1449 ☐ \_\_\_\_\_ Copies of IDS  
Citations/References12. ☐ Preliminary Amendment \_\_\_\_\_ pages13. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

14. Small Entity Status

☐ Small Entity Statement Enclosed \_\_\_\_\_ pages☒ Statement filed in prior application; and  
status still proper and desired☐ Is no longer claimed.15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16. ☐ Other:☐ Copy of Petition for Extension of Time filed in parent appl.;☐

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

☐ Continuation ☒ Divisional of prior application No. 09/254,797Filed on June 4, 1999, entitled: CYCLITOL CONTAINING CARBOHYDRATES FROM HUMAN TISSUE WHICH  
REGULATE LIPOGENIC ACTIVITY; which is a 371 of PCT/GB97/02444, filed September 11, 1997; which claims benefit of  
UK App. No. 9618930.3 filed Sept. 11, 1996.

PRIOR APPLICATION INFORMATION: Examiner K. Fonda

Group Art Unit 1623

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name

Emily M. Haliday

Reg. No. 38,903

Attorneys for  
Applicant

Skjerven Morrill MacPherson LLP

Address

25 Metro Drive, Suite 700

City

San Jose

State

CA

Zip Code

95110

Country:

United States

Telephone

(408) 453-9200

Fax

(408) 453-7979

The PTO did not receive the following  
information. I didn't receive Abstract  
postcard

02/01/01  
J1032 U.S. PTO

09/775856

02/01/01

Please type a plus sign (+) inside this box



PTO/SB/29 (1/98)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
20	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$ 0.00
4	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	1	x	\$80	=	\$ 80.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))					+	\$260.00	=
							BASIC FEE (37 CFR 1.16(a))	= \$ 790.00
							Total of above Calculations	= \$ 790.00
							Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	= \$ 395.00
							TOTAL	= \$ 395.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

### NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

☐ New correspondence address below

NAME	Emily M. Haliday, Law Offices of Jonathan Alan Quine						
ADDRESS	2033 Clement Ave., Suite 200						
CITY	Alameda	STATE	CA	ZIP CODE	94501		
COUNTRY	United States	TELEPHONE	(510) 337-7871	FAX	(510) 337-7877		

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	Feb 1, 2001
Name	Emily M. Haliday Reg. No. 38,903
Signature	
Express Mail Label No.	EL699360811US